08-13555-mg Doc 49705 Filed 05/13/15 Entered 05/21/15 09:49:01 Main Document Pg 1 of 4



BY REGISTERED MAIL

U.S. Bankruptcy Court for the Southern District of N.Y. One Bowling Green New York, N.Y. 10004 USA



UBS AG

Badenerstrasse 574/C Postfach, PO Box, 8098 Zürich

OQ9C / O5GC C/A Special Transaction / Default MGMT

Matthias Mohos YBM +41 44 236 07 54 +41 44 235 47 21 matthias.mohos@ubs.com

www.ubs.com

Message

May 04, 2015

subject Claim Transfer Agreement Lehman Program Securities

Claim Number: 55330

Transferee: UBS AG, Bahnhofstrasse 45, CH-8001 Zurich (Switzerland)

Attention: Clerk of the Court On behalf of Evidence of partial transfer of claim you are receiving for your information returned with thanks please return or your records please comment please confirm receipt as agreed please sign please process ☐ please complete TOCE135 CHF 50'000.00 CH0034783636 Transferor: Swissquote Bank SA, Chemin de la Crétaux 33, 1196 Gland (Switzerland)

Yours sincerely,

UBS AG

61555 E

Matthias Mohos Associate Director

07.03.2012 N1 04.05.2015 Page 1/1

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Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

n re:

Lehman Brothers Holdings Inc.

Case No.: <u>(JMP</u> Court ID (Court Use Only)

NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee: UBS AG	Name of Transferor: Swissquote Bank SA			
Notices to Transferee should be sent to: UBS AG Bahnhofstrasse 45 8001 Zürich, Switzerland Attn. Mr. Hugo Koller EMAIL: +41 44 235 37 36	Court Record Address of the Transferor: (Court use only)			
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor Swissquote Bank SA Chemin de la Crétaux 33 1196 Gland Switzerland + 41 22 999 97 99 Attn.: Corporate Actions Service corporate,actions@swissquote.ch			
Amount of Claim Being Transferred:				
CHF 50'000.00 (face amount of securities)	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS			
Court Claim No. (if known): 55330				
Date Claim Filed: October 29, 2009				

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Mattinas Molicis

Associate Director

By

Hugo Kaller Director

UBS AG Bahnhofstrasse 45

8001 Zurich, Switzer and

Date: 4.5.15

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §6 152 &3571. As set forth in the attached Evidence of Transfer of Ciaim, Transferor has waived to the U.G.Est extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

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131-1-3-7



EVIDENCE OF TRANSFER OF CLAIM

TO:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy

Court")

One Bowling Green

New York, New York 10004 Attention: Clerk of the Court

AND TO:

Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: In re Lehman Brothers Holdings Inc., No. 08-13555 (IMP)

Proof of Claim Number: 55330 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates <u>solely</u> to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
LBCCA LHB C 01-11	CH0034783636	LBT BV	LBHI	CHF 50'000.00

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

SWISSQUOTE BANK SA, ("<u>Transferor</u>") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn.: Mr. Hugo Koller +41 44 235 37 36-hugo.koller@ubs.com

("<u>Transferee</u>") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferoe as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated April 30, 2015.

Swissquote Bank SA Transferor

By: Amma

Name: Anna Cafassi Title: Associate Director

Ву:

Name:

Title:

Isabella Simões

Swissquote Bank SA

Authorized Officer

ACKNOWLEDGED BY:

UBS AG Transferee

Bv:

Name: Hugo Koller Title: Director

Ву:

Name: Matthias Mohos Title: Associate Director